



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

JONATHAN V. CASPAR ET. AL.

0.405.110

CASE NO.: CL1974USPCT APPLICATION NO.: 10/511854

CONFIRMATION NO.: UNKNOWN GROUP ART UNIT: UNKNOWN

EXAMINER: UNKNOWN FILED: January 21, 2003

FOR: LOW MOLECULAR WEIGHT ACRYLIC COPOLYMER LATEXES FOR

PLANARIZING ELEMENTS IN THE THERMAL PRINTING OF COLOR

FILTERS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. §§1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office the information listed on the enclosed PTO/SB/08A and/or PTO/SB/08B forms. A copy of the information, if required, is also enclosed. Consideration of the information is requested under 37 C.F.R. § 1.56 and this information is submitted in accord with the provisions of §1.97(b): within three months of filing the national application under 37 C.F.R. §1.53(d), or entry into the national stage under 37 C.F.R. §1.491, or before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action on the merits after filing a Request for Continued Examination under 37 C.F.R. §1.114.

If this Information Disclosure Statement is filed under §1.97(b) but the mailing date hereof is after the mailing of a first Office Action on the merits, the PTO is authorized to charge the fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. **04-1928** (E. I. du Pont de Nemours and Company) in order to

complete the requirements for consideration of this Information Disclosure Statement.

Respectfully submitted,

GAIL A DALICKAS

Attorney for Applicants Registration No.: 40,979 Telephone: (302) 992-4947 Facsimile: (302) 992-5374

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Dated: $\frac{3}{3}$

PTO/SB/08A (08-03) or use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet of

Complete II Known				
Application Number	10/511854			
Filing Date	January 21, 2003			
First Named Inventor	JONATHAN V. CASPAR			
Group Art Unit	UNKNOWN			
Examiner Name	UNKNOWN			
Attorney Docket Number	CL1974USPCT			

	U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1		ocument Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-	4,942,141	07-17-1990	CHARLES D. DEBOER ET. AL.		
	2	US-	5,019,549	05-28-1991	REID E. KELLOGG ET. AL.		
_	3	US-	4,948,776	08-14-1990	STEVEN EVANS ET. AL.		
	4	US -	5,156,938	10-20-1992	DIANE M. FOLEY ET. AL.		
	5	US-	5,171,650	12-15-1992	ERNEST W. ELLIS		
	7	US-	4,643,917	02-17-1987	KUNIHIRO KOSHIZUKA ET. AL.		
	8	US-	5,563,019	10-08-1996	GRACIELA BLANCHET-FINCHER		
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	FOREIGN PATENT DOCUMENTS							
	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where			
	No.1	CountryCode ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Relevant Passages or Relevant Figures Appear	T ₆		
	1	UK 2,083,726	03-24-1982	MINNESOTA MINING AND MANUFACTURING CO.				
	2	WO 01/96116 A1	12-20-2001	AVERY DENNISON CORP.				
:	3	EP 1 138 731 A1	10-04-2001	CLARIANT INTERNATIONAL LTD.				
	4	EP 1 176 028 A1	01-30-2002	E.I. DU PONT				
	5	WO 01/87634 A2	11-22-2001	E.I. DU PONT				

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto. or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, this indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

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10/511854

Filing Date
January 21, 2003

First Named Inventor
Group Art Unit
UNKNOWN

Examiner Name
UNKNOWN

Sheet	1	of 1 Attorney Docket Number CL1974USPC1	
		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	PCT INTERNATIONAL SEARCH REPORT DATED JUNE 20, 2003, INTERNATIONAL APPLN. NO. PCT/US03/02060, INTERNATIONAL FILING DATE - JANUARY 1, 2003, PAGES (7)	
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